PLANT SAFETY RISK RECORD
SUPPLY OF USED PLANT

Purpose: To assist suppliers of used plant in fulfilling their legal obligations under the Work Health and Safety (WHS) Act 2011 (Qld and NSW) [Section 23(4)(a), (c), and 25(1–5)] and the WHS Regulations 2011 [Regulations 198, 199, and 200]. This includes identifying risks and faults, as well as providing essential plant safety information to ensure compliance when selling or supplying used plant.

Section 1 – PLANT INFORMATION

Company	102605-LAWD Auctions Earthmoving Plant & Machinery Retirement Clearing Sale.	**PHRA No:**	LOT 001/ Stock-2422
Plant Location	Location: 4668 Newell Highway Balladoran NSW 2822.	**Created by:**	Safety Services Australia
Plant Name	2001 CATERPILLAR D8R DOZER	**Date:**	24th October 2026
Plant Description and associated components	2001 CATERPILLAR D8R DOZER. Serial Number 7XM05099. Engine No: 41Z19044. Hours Showing: 17091. Fuel: Diesel. F/W Rear Ripper, Scrub Canopy. The following components have been replaced: Torque Converter, Final Drives and Transmission Approx 4000 ago. Approx 3500 hrs on walking gear. Engine has completed 4 hours since rebuild. Rebuild completed by Crank Mechanical, Dubbo.		

Classified plant:	Plant item is a Boiler, Crane, Hoist, Lift, or Pressure Vessel	Yes		No	X
Supply / Use	Intended for use at a workplace. (Risks and safety information provided)	Yes	X	No	
	For Scrap or spare parts use only. The plant is not to be used or operated	Yes		No	X

Section 2 – Safety Risks

The risks identified pertain to the use, inspection, servicing, maintenance, and cleaning of the plant item. These risks were assessed during the pre-supply inspection of the plant, which was conducted under limited conditions. Comprehensive risk assessments could not be performed, as the plant items were not operational and could not be observed under working conditions.
Identified risks are categorized using the hazard classifications outlined in AS **4024.1.2019 Safety of Machinery Series**, and the allocated risk reflects the inherent risk level before the application of any hazard controls. For detailed information on the risk matrix used to determine risk levels, please refer to the plant hazard report.

Item	Hazard	Yes	Risk	Item	Hazard	Yes	Risk
1	**Mechanical**	X	**H**	11	**Compressed air**	**X**	**M**
2	**Electrical**	**X**	**M**	12	**Contamination**	X	**M**
3	**Thermal**	**X**	**M**	13	**Confined Space**		
4	**Noise**	X	**H**	14	**Operational Hazards**	X	**H**
5	**Chemical/Substances**	X	**H**	15	**Un-expected Start-up**	**X**	**H**
6	**Radiation**			16	**Failure of Power Supply**	**X**	**M**
7	**Vibration**	X	**H**	17	**Servicing & Maintenance**	X	**H**
8	**Slip, Trip, Falls**	X	**M**	18	**Cleaning of the Plant**	X	**M**
9	**Hazardous Manual Tasks**	X	**M**	19	**Inspection or monitoring of the plant**	X	**M**
10	**Loss of stability/Overturning**	X	**H**	20	**Faults or Defects** (*Specified below*)		

FAULTS & DEFECTS			
Item	**Fault Type**	**Description of Fault or Defect**	**Risk**
1	No major defects noted from review of 38 Photos		
2			

Section 3 – RISK CONTROL OPTIONS

The following hazard control options relate to the hazards and faults identified at pre-supply inspection and detailed above. Hazard control options listed below are suggestions only, selection and proper implementation of suitable hazard controls based on application of the 'hierarchy of controls' with elimination's first consideration, followed by lower levels of risk reduction, will enable risk level to be reduced to 'as low as reasonably practicable' (ALARP).

Item	Hazard Control Option	Yes
1	Determine if the plant item is fit for its intended use and of safe design	X
2	Conduct a plant safety risk assessment before installation, commissioning, and use	X
3	Ensure inspection and testing of the plant occurs before start-up to ensure safe operation	X
4	Arrange for registration of 'classified Plant' items with regulatory authorities	N/A
5	Rectify identified faults and defects before commissioning and use	X
6	Provide sufficient and safe access and egress for maintenance, inspection, and cleaning	X
7	Provide emergency lighting, safety doors, and warning alarms to reduce the risk of entrapment	X
8	Ensure guarding (Permanent fixed barrier, interlocked, securely fixed barrier) is in place	X
9	Operational Controls - determined and marked on the plant item (Levels, Buttons, Controls)	X
10	Safety Signage - Ensure suitable safety warning and safety information signage are installed	X
11	Identify or install isolation points for use with physical locks to isolate energy sources	Inspection/Maintenance
12	Identify or install emergency stop devices for stopping the plant item in case of an emergency	X
13	Develop and provide safety instructions for use, maintenance, inspection, and cleaning of the plant	X
14	Provide suitable training for operators in safety instructions and ensure competency	X
15	Provide adequate personal protective clothing and equipment (PPE) for operators	X

For further guidance on risk assessment and risk controls for plant, refer to AS4024-Series- 'Safety of Machinery', National code of practice- Managing the risk of plant in the workplace.
For further information about the plant item, refer to the plant manufacturer and supplier information and the available plant inspection and maintenance records below. Safety hazard control should be determined and implemented before the use of the plant.

Section 4 – PLANT MANUFACTURER OR SUPPLIER SAFETY INFORMATION

Available designer, manufacturer, or supplier technical and safety information and instructions for the plant item.

Item	Record Type and Description	Reference	Location
1	Operators' manual, parts manual, and instructions for the plant will be provided at the time of purchase by the supplier, where copies are available	01	Supplier
2			
3			

Section 5 – PLANT INSPECTION, MAINTENANCE & REGISTRATION RECORDS

Available maintenance, service inspection, testing, repair, registration, modifications records for the plant item.

Item	Record Type and Description	Reference	Location
1	Plant inspection, service records, and pre-start for the plant will be provided at the time of purchase by the supplier, where copies are available.	01	Supplier
2			
3			